

NB! Please note that due to law we cannot invest your money in fund units unless we receive a complete **application form, know your customer data** and a certified copy of **ID-identification and certificate of registration** to Lannebo Fonder. For application forms that are faxed or e-mailed, **original** documentation must also be sent by post to Lannebo Fonder.

General information on investor (mandatory):

Legal entity name:	Legal entity registration number:	
Address:	Post code:	City:
Country:	Primary point of contact:	
E-mail:	Telephone number:	
Tax residence:	TIN (Tax Payer Identification Number) and/or GIIN (Global Intermediary Identification Number):	

Bank account to be used for redemption payments:

Name of bank:	Swift/BIC:	IBAN:
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Know your customer information:

Due to the law on measures against money laundering and the financing of terrorism, which is based on EU's fourth anti-money laundering directive, demands have been imposed on fund management companies. These demands include an obligation to collect certain information from all new clients in order to achieve "know your customer information". Lannebo Fonder therefore kindly requests you to fill in the form below. We also ask questions due to U.S. FATCA legislation.

1 a) Fund units are subscribed on behalf of a:

- Wholly owned company
- Company with more shareholders than yourself
- Company admitted to trading on a regulated market (listed companies) *Questions 3-6 do not need to be answered*
- Other through power of attorney. Who? *(attach power of attorney)*
- Other, please specify:

1 b) Registration of fund units

- Direct registration
- Nominee/omnibus registration

2. Company category (tick one out of three alternatives)

Please see definition for company category at our website

- Financial Institution
- Active non-financial entity
- Passive non-financial entity

3. Information regarding beneficial owner

3 a) Description of ownership and control structure

Please describe who owns and controls the legal entity.

If the ownership or control is conducted by a number of legal entities, please describe the ownership and control structure in a separate document or attach company structure / group structure. Please also provide name of the legal entity, company registration number, full address, and percentage of ownership.

3 b) Information regarding the beneficial owner's identity

Definition beneficial owner

Beneficial owner means any natural person(s) who ultimately owns or controls the customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted and include at least:

A natural person is supposed to exercise the ultimate control over the company, whether on his own or with his spouse, any partner considered as equivalent to the spouse, children, children's spouses or partners or parents

- a) due to the holding of shares, other shares or membership controls more than 25 percent of the total number of votes in the company.*
- b) has the right to appoint or remove more than half of the company's board members.*
- c) due to an agreement with the owner or legal entity, the regulations of articles of association, company agreements and comparable documents, could exercise control according to b) och c)*

You are asked to provide information about the beneficial owner's identity below. *Legal entities whose shares are traded on a regulated market (listed companies) are exempt.*

If the company is a passive non-financial entity, it must be stated if any of the beneficial owners are tax resident in the United States or are tax resident in any country other than Sweden. Any foreign tax identification number (TIN) shall also be stated.

Name:

Personal identity number:

Address:

Share of equity or votes in %:

TIN: _____

- Right to appoint or remove more than half of the company's board members
 Yes No
- Due to an agreement with the owner or legal entity, the regulations of articles of association, company agreements and comparable documents, could exercise control over the legal entity representing an ownership of more than 25 percent of the votes in the company or right to appoint or remove more than half of the company's board members.
 Yes No
- U.S. Person for tax purposes i.e. liable to taxes due to citizenship or other affiliation etc or tax resident in another country than Sweden _____
(which)
 Yes No

Name:

Personal identity number:

Address:

Share of equity or votes in %:

TIN: _____

- Right to appoint or remove more than half of the company's board members
 Yes No
- Due to an agreement with the owner or legal entity, the regulations of articles of association, company agreements and comparable documents, could exercise control over the legal entity representing an ownership of more than 25 percent of the votes in the company or right to appoint or remove more than half of the company's board members.
 Yes No
- U.S. Person for tax purposes i.e. liable to taxes due to citizenship or other affiliation etc or tax resident in another country than Sweden _____
(which)
 Yes No

Name:
Personal identity number:
Address:
Share of equity or votes in %:
TIN: _____

- Right to appoint or remove more than half of the company's board members
 Yes No
- Due to an agreement with the owner or legal entity, the regulations of articles of association, company agreements and comparable documents, could exercise control over the legal entity representing an ownership of more than 25 percent of the votes in the company or right to appoint or remove more than half of the company's board members.
 Yes No
- U.S. Person for tax purposes i.e. liable to taxes due to citizenship or other affiliation etc or tax resident in another country than Sweden _____
(which)
 Yes No

3 c) Additional information on trusts

For trusts, we need additional information about the beneficial owner's identity. Information shall be provided about the members of the Board of Directors and representatives of the legal entity who is the trustee. In addition, information shall be provided if there is a person who, according to the regulation, can receive a substantial share of the trust's distributed funds (15 percent is a benchmark).

Name:
Personal identity number:
Address:
Position:
TIN: _____

- U.S. Person for tax purposes i.e. liable to taxes due to citizenship or other affiliation etc or tax resident in another country than Sweden _____
(which)
 Yes No

Name:
Personal identity number:
Address:
Position:
TIN: _____

- U.S. Person for tax purposes i.e. liable to taxes due to citizenship or other affiliation etc or tax resident in another country than Sweden _____
(which)
 Yes No

Name:
Personal identity number:
Address:
Position:
TIN: _____

- U.S. Person for tax purposes i.e. liable to taxes due to citizenship or other affiliation etc or tax resident in another country than Sweden _____
(which)
 Yes No

3 d) Information on Chairman of the Board or Chief Executive Officer (CEO)

If the company does not have a beneficial owner in accordance with 3b or 3c, the company's Chairman or CEO shall be deemed to be the beneficial owner. Therefore, provide information about the Chairman or CEO who you consider to exercise most control over the business.

Name:
Personal identity number:
Address:
Position:
TIN: _____

- U.S. Person for tax purposes i.e. liable to taxes due to citizenship or other affiliation etc or tax resident in another country than Sweden _____
(which)
 Yes No

4. Nature of business relationship

- One-off payment
- Regular savings (eg. *monthly investments*)
- Other, please state:

5. What amount do you estimate an average transaction to?

- < 10 000 SEK
- 10 000 - 100 000 SEK
- 100 000 - 1 000 000 SEK
- > 1 000 000 SEK
- Other:

6. Most common source of invested capital:

- Revenue from the company we represent
- Sale of real estate
- Income from sale of company
- Other:

Customer declaration

According to anti-money laundering and terrorist financing legislation, special regulations apply to the establishment of a business relationship with Politically Exposed Persons (PEPs) which include persons who have or have previously had important public functions and their closest family members and known employees of such persons, respectively. We therefore ask you to answer the questions below.

Does anyone who is the beneficial owner of the company (including yourself) have any of the following prominent functions, family related or closely connected, either socially or professionally or previously had this?

- Yes No If yes, please fill in below.

A) Prominent public functions

- Head of State Head of government Minister Deputy or assistant Minister Member of political party board
- Member of parliament Member of supreme courts Member of constitutional courts or of other high-level judicial bodies whose decisions are not subject to further appeal, except in exceptional circumstances Member of courts of auditors Member of the board of central bank Ambassador Chargés d'affaires High-ranking officer in the armed forces Member of the administrative, management or supervisory bodies of State owned enterprises Senior management or equivalent function of an international organization

B) Family members of persons referred to in section A

- Spouse Any partner considered as equivalent to the spouse Cohabitant Children Children's spouses, partners or cohabitant
- Parents

C) Close associates of persons referred to in section A

- Person who is known to have joint beneficial ownership of legal entities or legal arrangements with a person referred to in section A
- Person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of the person referred to in section A
- Person who has or have had close relationships (business relations or for example close political relations) with a person according to A

Mandatory documents for financial institutions:

The following documents are required to be provided for your application to be valid.

- A copy of the undertaking's authorization from the competent supervisory authority or equivalent
- Authorised signatories list
- A copy of your standard KYC-questionnaire (Wolfsberg Group template or similar)

Signatures:

- We hereby certify that the information above is current and correct.
- We confirm that we have read, understood and accepted the fund rules, key investor information document and fund prospectus.
- We have attached a copy of certification of registration and certified copy of authorised signatories valid ID-identification.
- We have attached a copy of valid ID-identification for the beneficial owner of the entity.
- We vow to disclose to Lannebo Fonder any new information in the event of any changes or updates to the details given such as name, address or change of ownership.
- We confirm that the purpose of our intentions is savings in mutual funds.

For financial institutions:

- We confirm that we understand that nominee/omnibus registration of holdings of units of mutual funds requires permit issued by the Swedish Financial Supervisory Authority according to the Swedish UCITS Act.

City and date:

Signature

Signature:

Name in print:

Name in print:

26 september 2017

Send this application form to:

Lannebo Fonder, P O Box 7854, SE-103 99 Stockholm, Sweden.